

FILED
UNITED STATES DISTRICT COURT
DISTRICT OF NEW MEXICO

CLERK-ALBUQUERQUE

)Case Number: 1:10-CV-00263

Defendants.

Regarding the Court's request filed 4-15-10 [Doc. 19]:

- VII A. All records not previously supplied to the SEC Denver office were seized by the NM SEC when they executed a search warrant approximately three to four weeks ago.
- B. (1) Tony Oliva, President of Integra, current address and phone number unknown.
Amounts and dates were sent previously to SEC of Denver and our records were seized by NM SEC 3-4 weeks ago. These fees were paid 2008 and 2009.
- (2) Steve Etkind, 4429 Glenwood Hills Dr. NE, Albuquerque, NM, (505) 296-2133.
I don't believe these records are in our possession any more. Fees were paid prior to 2007.
- (3) Elaine Porter, address and phone number unknown.

Small fees were paid approximately from 2008 through mid 2009. Records seized by NM SEC.

(4) Chris Maika, address and phone number unknown. Paid fees were paid from 2007 through early-2009.

- C. See enclosed schedule of Real Estate which is held by Vaughan (subject to chapter 11 filing in February 2010) or Vaughan Equities I, II, or III. The equity in almost all of the real estate is pledged as collateral to lenders.

Douglas F. Vaughan owned (85%) of NAI Vaughan Company, a Commercial Real Estate Brokerage, which is winding down. *NAI VAUGHAN MANAGEMENT LLC IS OWNED BY DOUG VAUGHAN*
Vaughan Capital owns two rental homes in Las Vegas, Nevada on Traviata and Baby's Tear (exact street address is among records believed taken by NM SEC). Vaughan Capital also owns a one acre vacant lot in North Albuquerque Acres on Wilshire Ave. I have in my possession four checks made out to Vaughan Capital LLC. (1) Compass bank check #45218735 in the amount of \$5643.61. I closed out this account March 12th, 2010, #062001186 in anticipation of opening a new Vaughan Capital LLC. account at a different bank. The other three checks are from the property management company in Las Vegas, Desert Realty Inc. All checks are drawn on Bank of Nevada. Checks are # 81110 (\$882.47), #80644 (\$1,079.50), and #81283 (\$1014.50). I would request permission from the court to open a new bank account and hire a CPA to prepare a tax return for the investors. We've had numerous requests from the investors for their K-1's. If this is not acceptable to the court we will turn these checks over to the court. I would likewise request the unfreezing of Vaughan Equities I, and Vaughan Equities II. Those accounts have a balance of \$2,272 and \$8,334. respectively we have various vendor bills to pay including property insurance *SD*

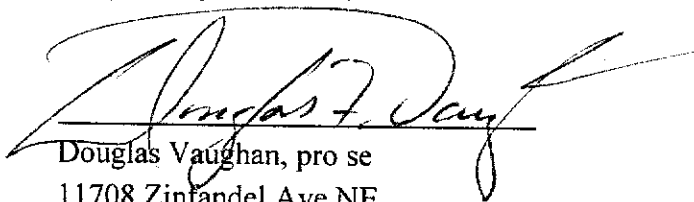
- D. When NM SEC executed its search warrant approximately three to four weeks ago, it took all account records. There is a safe deposit box (#400) at the Bank of The West (Academy Branch). There is a small (\$100 balance) brokerage account in the name of Douglas F. Vaughan at Morgan Stanley. This replaced an account previously held at Merrill Lynch (closed). Again, NM SEC has the records. I like the Vaughan creditors opened a "debtor in possession" account at Bank 1st following my personal filing of my chapter 11 bankruptcy petition February, 2010. No funds from investor proceeds were used to open this account # 100018277.

A CPA prepares the monthly report that is delivered the 21st of each month to bankruptcy court on this account.

E. NM SEC has these records.

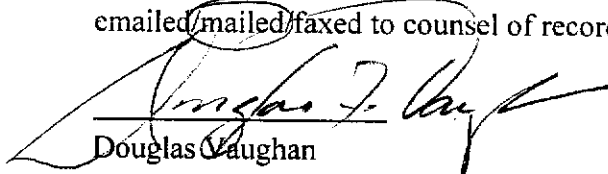
If any additional records are discovered they will be turned over to SEC.

Respectfully submitted,



Douglas Vaughan, pro se
11708 Zinfandel Ave NE
Albuquerque, NM 87122
505-821-1432.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been emailed/mailed/faxed to counsel of record this 2nd day of April, 2010.


Douglas Vaughan

NOTE C
REAL ESTATE SCHEDULE

ADDRESS	TYPE	PRICE/DATE	TODAY/VALUE	WHO'S VALUE
1. 700 A Fruit NW	TH (832 sf)	\$ 59,000 (6/86)	\$ 135,000	Last Sale
2. 700 H Fruit NW	TH (832 sf)	\$ 59,000 (6/86)	\$ 135,000	Last Sale
3. 702A Fruit NW	TH	\$60,000 (4/85)	\$ 135,000	Last Sale
4. 706A Fruit NW	TH (832 sf)	\$ 60,000 (4/85)	\$ 135,000	Last Sale
5. 706 H Fruit NW	TH (832 sf)	\$ 60,680 (3/03)	\$ 135,000	Last Sale
6. 700 Fruit NW	(9) TH (7,488 sf)	\$540,000 (4/85)	\$1,215,000	Last Sale
✓ 7. 3824 Blueridge NE	RH (1850sf)	\$ 77,000 (4/81)	\$ 250,000	Market Value
✓ 8. 10324 Manzanillo NE	RH (1318 sf)	\$ 59,000 (12/80)	\$ 200,000	Market Value
✓ 9. 12341 Keywest NE	RH (2000 sf)	\$ 77,000 (2/81)	\$ 275,000	Market Value
✓ 10. 11708 Zinfandel Ave. NE	(Residence)	\$2,338,295 (5/30/05)	\$3,250,000	Market Value
✓ 11. 9551 Paseo Del Norte NE	Office Bldg.	\$2,500,000 (12/05)	\$3,000,000	Market Value
✓ 12. 9501 Paseo Del Norte NE	Office Bldg.	\$2,050,000	\$2,050,000	Cost
✓ 13. 6817 Kelly Ann Rd NE	RH (1635 sf)	\$ 224,900 (5/06)	\$ 249,000	Appraisal / Last Sale
✓ 14. 309 Calle Evangeline	RH (1888 sf)	\$ 213,000 (6/06)	\$ 225,000	Cost
15. Lots 13 & 14, Blk 5, Unit 21, Rio Rancho	VL (1 AC)	\$ 230,000 (8/06)	\$ 283,140	Market Value
✓ 16. 2832 Palo Verde NE	Tri-plex	\$ 330,000 (8/06)	\$ 330,000	Cost
✓ 17. 2913 Palo Verde NE	Tri-plex	\$ 325,000 (8/06)	\$ 325,000	Cost
18. 2836 Palo Verde NE	Tri-plex	\$ 322,000 (9/06)	\$ 322,000	Cost
✓ 19. 13109 Candelaria NE	6-plex	\$ 465,000 (8/06)	\$ 525,000	Market Value
✓ 20. 19 Plaza Del Corazon	TH	\$1,200,000 (12/06)	\$1,300,000	Market Value
✓ 21. 6703 Academy Rd. NE	Office Bld	\$1,800,000 (7/23/07)	\$2,000,000	Market Value
✓ 22. 8.5 Acres-Bernalillo	VL	\$2,755,000 (01/07)	\$3,700,000	Appraisal

PERSONAL
 (1 TO 22) VAUGHAN EQUITIES I
 (1 TO 22) VAUGHAN EQUITIES II
 (1 TO 22) VAUGHAN EQUITIES III

IN THE DISTRICT COURT OF THE UNITED STATES
FOR THE DISTRICT OF NEW MEXICO

SECURITIES AND EXCHANGE COMMISSION)

Plaintiff,)

vs.)

)Case Number: 1:10-CV-00263

DOUGLAS VAUGHAN,)

THE VAUGHAN COMPANY, REALTORS, INC.,)

and)

VAUGHAN CAPITAL, LLC.)

Defendants.)

AFFIDAVIT OF DOUGLAS VAUGHAN

STATE OF New Mexico)

) ss.

COUNTY OF Bernalillo)

I, Douglas Vaughan, being first duly sworn upon oath, states as follows:

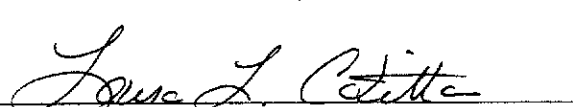
1. I am Chairman of The Vaughan Co. Realtors.
2. All known records have been produced and that to the best of my knowledge, no records have been deleted or destroyed.
3. If any additional records are discovered they will be produced to the SEC promptly upon discovery.

FURTHER AFFIANT SAYETH NOT.

By:


Douglas Vaughan

SUBSCRIBED AND SWORN to before me this 29th day of April, 2010, by
Douglas Vaughan.


NOTARY PUBLIC

My Commission Expires:

2/3/2012